

**eThekwini Indicators, Definitions and Scoring Criteria**

Traffic Light Indicator	Definition	Criteria for Green	Criteria for yellow	Criteria for Red
<p><b>1) Did they sign eThekwini, and who signed it?</b></p>	<p>Response is either YES or NO – indicates whether the country was represented and pledged to the eThekwini commitments on sanitation at the AfricaSan +5 Conference.</p>	<p>If country was represented and pledged to the commitments at the AfricaSan+5 Conference</p>	<p>NA</p>	<p>Not yet signed</p>
<p><b>2) Is there a national sanitation* policy?</b></p>	<p><b>A national sanitation policy</b> is a formal document prepared by government and endorsed by lawmakers (parliament) to guide and provide vision, strategic direction, institutional arrangements, coordination, implementation framework, financing mechanism and technical guidance on sanitation.</p>	<p>If the country has a sanitation policy that is prepared by government and endorsed by parliament.</p>	<p>If the country has a sanitation policy that is prepared by government but not yet endorsed by parliament.</p>	<p>If there is no sanitation policy.</p>
<p><b>3) Is there one national sanitation plan to meet the MDG target and does it address the 8 recommended dimensions from the AfricaSan Conference?</b></p>	<p>This refers to sanitation strategy or sanitation action plan that addresses the eight recommended dimension (i.e. institutional arrangements, co-ordination, policy/strategy, demand led-supply fed sanitation, capacity building, financing and decentralisation and M&amp;E)</p>	<p>If the country has national sanitation plan prepared and under implementation- with the eight recommended dimensions are addressed.</p>	<p>If the country has a national sanitation plan prepared with at least 4 of the recommended dimensions addressed OR with no implementation</p>	<p>If the country has no national sanitation plan</p>

<p><b>4) What profile is given to sanitation within the PRSP**?</b></p>	<p>This implies that the official Poverty Reduction Strategy (PRSP) includes a section on Sanitation</p>	<p>If the PRSP includes sanitation and specific targets with detailed breakdown of urban/rural, household and institutional, excreta and waste-water with dedicated budgets and responsible institutions for implementation, coordination, monitoring and reporting.</p>	<p>If the PRSP includes a section on sanitation and no targets with limited details and no allocation for implementation.</p>	<p>No mention of sanitation in the PRSP.</p>
<p><b>5) Is there a principal accountable institution to take leadership?</b></p>	<p><b>Principal Accountable Institution</b> – a designated institution with clear national mandate for sanitation programs, development, Implementation, and M&amp;E</p>	<p>One designated Institution with mandate to provide leadership for sanitation programs, development, implementation, M&amp;E and has capacity and resources to fulfill the mandate.</p>	<p>One designated Institution with mandate to provide leadership for sanitation programs, development, implementation, M&amp;E but has no capacity and/or resources to fulfill the mandate.</p>	<p>No single institution with mandate for sanitation</p>
<p><b>6) Is there one coordinating body involving all stakeholders?</b></p>	<p><b>One coordinating body involving all stakeholders</b> refers to a national cross sectoral group with a mandate to ensure consolidation &amp; coherence of all sanitation programs to avoid duplication and increase cost effectiveness.</p>	<p>One body/ group with full mandate with effective capacity and resources and recognised by other organisations as the coordinating body.</p>	<p>One coordinating body with full mandate but without resources and/or capacity, and not recognized by other organisations.</p>	<p>No single effective coordinating body with mandate to coordinate sanitation</p>

<b>7) Is there a specific public sector budget line for sanitation?</b>	Public sector budget line at national or local level, earmarked for sanitation intervention / programs.	Public sector budget allocations made for sanitation and that are used	Budget allocations made for sanitation but that are not used	No public budgetary allocation for sanitation
<b>8) Is 0.5% of GDP allocated to sanitation?</b>	<b>0.5% of GDP allocation to sanitation</b> is the target pledged by the countries in the eThekweni commitments.	The public sector budgetary allocation to sanitation activities is at least 0.5% or more of the GDP.	The public sector budgetary allocation to sanitation activities is between 0.1 % - 0.5% of the GDP.	The public sector budgetary allocation to sanitation is less than 0.1% of the GDP.
<b>9) Is there a sanitation monitoring and evaluation (M+E) system?</b>	M&E systems for sanitation are in place with leadership and endorsed by government.	M&E systems are in place and effective with management processes, information systems, standards, strategies, plans, indicators, reporting lines & accountability relationships established; and used by all stakeholders, with capacity & resources.	M&E systems are in place but not operational (no capacity and no resources)	No formal established M&E systems
<b>10) Do Institutional sanitation programs include gender sensitive interventions?</b>	Institutional sanitation programs refer to mainly to sanitation in schools and health centers; gender sensitive interventions refer to the separation of toilet facilities for male and female or boys and girls	If Institutional sanitation programs include gender sensitive interventions and applied	If Institutional sanitation programs include gender sensitive interventions but are not applied	If Institutional sanitation programs does not include gender sensitive interventions
<b>Legend</b>				
<b>Score</b>	<b>No Data</b>	<b>Good = 2</b>	<b>In progress = 1</b>	<b>No Progress = 0</b>

\*Sanitation - Defined by the Report to the Conference of AfricaSan as the safe disposal of excreta and domestic waste water management; for some cases in the report, hygiene can be included in the term 'sanitation'.

\*\* If a country has no PRSP; does it feature in the National Development Plan